

Statement of Down Graded Investments

₹ Lacs

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A. During the Quarter									
1	8.75% SAIL 23-April-2020	OBPT	496	1/3/2012	FITCH	INDAA	INDAA-	8/3/2017	NA
2	8.90% SAIL 01-05-2019	OBPT	500	7/24/2009	FITCH	INDAA	INDAA-	8/3/2017	NA
3	9.35 SAIL 09 Sep 2026	OBPT	1038	9/23/2015	FITCH	INDAA	INDAA-	8/3/2017	NA
B. As on Date									
1	8.75% SAIL 23-April-2020	OBPT	496	1/3/2012	FITCH	AAA	INDAA-	4/20/2016	Security was downgraded from AAA to CAREAA+ on 17-03-2016 & further downgraded from CARE AA+ to FITCH INDAA on 20-04-2016 & subsequently further downgraded from FITCH IND AA to FITCH IND AA- on 03rd August 2017
2	8.90% SAIL 01-05-2019	OBPT	500	7/24/2009	FITCH	AAA	INDAA-	4/20/2016	Security was downgraded from AAA to CAREAA+ on 17-03-2016 & further downgraded from CARE AA+ to FITCH INDAA on 20-04-2016 & subsequently further downgraded from FITCH IND AA to FITCH IND AA- on 03rd August 2017
3	9.35 SAIL 09 Sep 2026	OBPT	1038	9/23/2015	FITCH	AAA	INDAA-	4/20/2016	Security was downgraded from AAA to CAREAA+ on 17-03-2016 & further downgraded from CARE AA+ to FITCH INDAA on 20-04-2016 & subsequently further downgraded from FITCH IND AA to FITCH IND AA- on 03rd August 2017
4	9.75% Punjab National Bank (Call - 14 Dec 2017)	EUPD	960	12/11/2007	CARE	AAA	CAREAA	7/20/2016	Security was downgraded from AAA to CAREAA+ on 28-03-2016 & subsequently further downgraded from CARE AA+ to AA on 20-07-2016

Name of Fund LINKED FUND

₹ Lacs

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A. During the Quarter									
1	Nil								
B. As on Date									
1	9.20 Bank of Baroda Perpetual Bond 09-10-2019	EUPD	518	5/12/2010	CARE	AAA	CAREAA+	3/28/2016	NA

Name of Fund PENSION & GENERAL ANNUITY AND GROUP BUSINESS

₹ Lacs

No	Name of the Security	COI	Amount	Date of Purchase	Rating Agency	Original Grade	Current Grade	Date of Downgrade	Remarks
A. During the Quarter									
1	Nil								
B. As on Date									
1	9.75% Punjab National Bank (Call - 14 Dec 2017)	EUPD	40	12/11/2007	CARE	CAREAAA	CAREAA	7/20/2016	Security was downgraded from AAA to CAREAA+ on 28-03-2016 & subsequently further downgraded from CARE AA+ to AA on 20-07-2016