

Declaration for Beneficial Ownership

Date: DD/MM/YYYY

This declaration is to be submitted in all Employer- Employee, Partnership, Keyman, Trust, Group Policies

Name of the Company/ Partnership firm/ Unincorporated association or Body of Individuals/Trust: _____

Whether the company is listed in stock exchange _____

This is to certify that the following members hold the percentage of shareholding against their names

Sr No.	Name of the Shareholder	Percentage of Holding	*Type of Shareholder	PAN	** KYC documents submitted		Politically Exposed Person (Yes/No)
					Identity Proof	Address proof	

SIGN HERE

Name of the Authorised Signatory:

SIGN HERE

Signature/thumb impression

*Individual, Partnership firm, Company, Trust
** KYC documents to be collected if it is an Individual

Note:

In order to have a uniform approach across the financial sector, the following procedures for determination of Beneficial Ownership as mentioned in Rule 9(3) of PML Rules, 2005 as amended from time to time are prescribed.

Sr No.	Type of Shareholder	Identification of Beneficial Owner
1	Company	Ownership of/ entitlement to more than 25% of the capital or profits or shares of the entity
2	Partnership Firm	Ownership of/ entitlement to more than 15% of the capital or profits of the entity
3	Unincorporated association or body of individuals	Ownership of/ entitlement to more than 15% of the capital or profits of the entity
4	Natural person is identified under (1) or (2) or (3) above	Where no natural person is identified the identity of the natural person who holds the position of senior managing official is required
5	Trust	Settler of the trust/ the trustee/ the protector/ the beneficiaries with 15% or more interest in the Trust and any other natural person
6	Listed Company	Where the client or the owner of the controlling interest is a company listed on a stock exchange, or is a subsidiary of such a company, it is not necessary to identify and verify the identity of any shareholder or beneficial owner(s) of such companies